JAP15 Rec'd PCT/PTO 16 NOV 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	DES	SMITTAL LETTER TO THE UN SIGNATED/ELECTED OFFICE (ERNING A SUBMISSION UNDE	(DO/EO/US)	037068.56495US U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/541,197						
INTE	RNATIO	NAL APPLICATION NO.	INTERNATIONAL FILING		PRIORITY DATE CLAIMED					
TITLE		PCT/EP2003/014726	Decembe	r 22, 2003	January 2, 2003					
ELECTROMOTIVELY ADJUSTED DISC BRAKE										
APPLICANT(S) FOR DO/EO/US										
Dieter BIEKER, et al.										
1.		erewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
2.	\boxtimes									
3.	\boxtimes	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.		The US has been elected (Article 31).								
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
		a. is attached hereto (required	d only if not communicate	ed by the International I	Bureau).					
		b. has been communicated by	the International Burea	u.						
		c. Is not required, as the appli	ication was filed in the U	Inited States Receiving	Office (RO/US).					
6.	\boxtimes	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
		a. 🔀 is attached hereto.								
		b. has been previously submit	tted under 35 U.S.C. 154	4(d)(4).						
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
		a. are attached hereto (required only if not communicated by the International Bureau).								
		b. have been communicated by the International Bureau.								
		c. have not been made; however, the time limit for making such amendments has NOT expired.								
		d. have not been made and will not be made.								
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (Two (2) pages).								
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
		s 11 to 20 below concern document(s) or information included:								
11.	\boxtimes	An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with PTO-1449 and Three (3) cited non-US references.								
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13.	\boxtimes	A preliminary amendment (Nine (9) pages) with Abstract.								
14.		An Application Data Sheet under 37 CFR 1.76.								
15.	\boxtimes	Submission of substitute specification including Clean and Marked-Up Versions.								
16.		A power of attorney and/or change of address letter.								
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.								
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20.	\boxtimes	Other items or information: Eight (8) sheets of drawings showing Figs. 1, 2, 3, 4, 5a-c, 6a-c, 7a-c; German Certificate of Inheritance								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

with English Translation and Translator's Declaration (Three (3) pages)

PTO-1390 (Rev. 02-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 10/541,197 PCT/EP2003/014726 037068.56495US The following fees have been submitted CALCULATIONS PTO USE ONLY Basic national fee (37 C.F.R. §1.492(a))\$300.00 22. Examination fee (37 C.F.R. §1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0.00 All other situations.....\$200.00 Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400 All other situations..... TOTAL OF 21, 22 AND 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) - 100 / 50 = \$ x 250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). CLAIMS NUMBER FILED **NUMBER EXTRA** RATE Total claims 23 - 20 50 \$150.00 x Independent claims 1 - 3 0 x 200 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360 \$ TOTAL OF ABOVE CALCULATIONS = \$ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property+ **TOTAL FEES ENCLOSED =** \$150.00 Amount to be 11/21/2006 ATRAN1 00000049 10541197 refunded: Amount to be 01 FC:1615 150.00 OP charged: A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 037068.56495US) in the amount of \$ to cover the above fees. b. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 037068.56495US). \boxtimes Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not d. be included on this form. Provide credit card information and authorization on PTO-2038, NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group SIGNATURE P.O. Box 14300 Jeffrey D. Sanok / Mark H. Neblett NAME Washington, D.C. 20044-4300

FORM PTO-1390 (REV. 02-2005)

Tel. No. (202) 624-2500

Fax No. (202) 628-8844

32,169/ 42,028

September 13, 2006

REGISTRATION NUMBER



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/541,197 Confirmation No. : 7764

First Named Inventor : Dieter BIEKER, et al.

Filed : July 5, 2005 TC/A.U. : Unassigned Examiner : Unassigned

Docket No. : 037068.56495US

Customer No. : 23911

Title : Electromotively Adjusted Disc Brake

REQUEST FOR REINSTATEMENT

Mail Stop Petitions

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Responsive to the Notice of Abandonment mailed October 30, 2006 in the above-identified patent application, Applicants hereby respectfully request reinstatement of the application.

On September 13, 2006, the Applicants filed a Second Submission of 371

Transmittal, a Notification of Missing Requirements of Application, an executed Declaration and Power of Attorney, a German Certificate of Inheritance with English Translation and Translator's Declaration, an English translation of the application, a Fourth Month Petition for Extension of Time, a Preliminary Amendment, a Submission of Substitute Specification and an Information Disclosure Statement. A copy of the date-stamped postcard receipt evidencing

Serial No. 10/541,197 Attorney Docket No. 037068.56495US PATENT

receipt of said papers from the Patent and Trademark Office along with copies of the as-filed papers is enclosed herewith.

CONCLUSION

Applicants respectfully submit that their response was filed in a timely manner. Accordingly, withdrawal of the Notice of Abandonment and reinstatement of the application is respectfully requested.

If there are any questions regarding this response or the application in general, a telephone call to the undersigned would be appreciated since this should expedite the prosecution of the application for all concerned.

If necessary to effect a timely response, this paper should be considered as a petition for an Extension of Time sufficient to effect a timely response, and please charge any deficiency in fees or credit any overpayments to Deposit Account No. 05-1323 (Docket # 037068.56495US).

Respectfully submitted,

November 16, 2006

Jeffrey D. Sanok Registration No. 32,169

Mark H. Neblett

Registration No. 42,028

CROWELL & MORING LLP Intellectual Property Group P.O. Box 14300 Washington, DC 20044-4300 Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

JDS:MHN:tas

2891412



Crowell & Moring LLP

Today's Date: September 13, 2006

Attorney Docket: First Named Inventor: Serial No.:

037068.56495US **Dieter BIEKER** 10/541,197 July 5, 2005

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Filing Date:

- X Executed Assignment, German Certificate of Inheritance with English Translation and Translator's Declaration (Six (6) pages)
- Credit Card Payment Form (PTO-2038) for \$40.00

JDS:MHN:Ird

Today's Date: September 13, 2006

Crowell & Moring LLP

Attorney Docket: First Named Inventor:

Serial No.: Filing Date: 037068.56495US Dieter BIEKER 10/541,197 July 5, 2005

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Second Submission of 371 Transmittal with Credit Card Payment Form (PTO-2038) for \$150.00 х
- Copy of Notification of Missing Requirements of Application mailed March 15, 2006 Х
- Executed Declaration and Power of Attorney (Two (2) pages) х
- German Certificate of Inheritance with English Translation and Translator's Declaration (Three (3) Х pages)
- English Translation including Twenty (20) Claims and Abstract (Twenty-four (24) pages) and Eight (8) Х sheets of drawings showing Figs. 1, 2, 3, 4, 5a-c, 6a-c, 7a-c
- Petition for Four (4) Month Extension of Time with Credit Card Payment Form (PTO-2038) for Х \$1,590.00
- Preliminary Amendment (Nine (9) pages with Apstrac Х
- Submission of Substitute Specification including Clean and Marked-Up Versions Х
- Information Disclosure Statement with PTO-1449 and The (3) cited non-US references

JDS:MHN:lrd

DUE DATE: September 15, 2006

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PTO-2038 (02-2003)

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United States Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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United States Patent and Trademark Office Credit Card Payment Form

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Credit Expiration Date:									
Name as it Appears on Credit Card: Laura Drembus									
Payment Amount: \$ (US Dollars): \$1,590.00									
	ua overbar		Date: September 13, 2						
Refund Policy: The office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The office will not refund amounts of \$25.00 or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged. Service Charge: There is a \$50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by the financial institution (37 CFR 1.21(m))									
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Application No. 10/541,197	Application No.	A	pplication No.	IDON Customer No.					
Patent No.	Patent No.	R	egistration No.						
Attorney Docket No. 037068.56495US		Ic	dentify or Describe Mark						

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